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PATENT
5053-30802

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Charles P. Bobbitt, Steven G.
Doughty and Robert Jay Shaw

Serial No.: 09/699,015

Filed: October 27, 2000

For: BUSINESS TRANSACTION
PROCESSING SYSTEMS
AND METHOD

§ Examiner: Unknown
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§ Group Art Unit: 2161
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§ Atty. Docket. No.: 5053-30802/EBM
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CERTIFICATE OF MAILING
37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, Attn: BOX MISSING PARTS, Washington, D.C. 20231, on the date indicated below.

4/6/01
Date

G. Mosher
Geraldine Mosher

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION -
FILING DATE GRANTED, MAILED JANUARY 10, 2001**

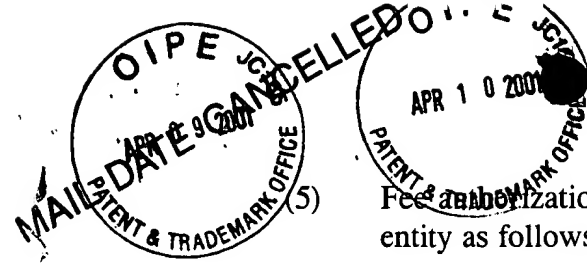
ATTN: Box Missing Parts
Commissioner for Patents
Washington, D.C. 20231

Sir:

Applicant encloses the following in response to the Notice to File Missing Parts of

Application - Filing Date Granted:

- (1) Copy of Form PTO-1533, Notice to File Missing Parts - Filing Date Granted (1 page);
- (2) Originally executed Assignment with cover sheet (3 pages);
- (3) Originally executed Declaration (2 pages);
- (4) Preliminary Amendment (8 pages);



Fee authorization in the amount of \$4,176.00 to cover the filing fee for a large entity as follows:

- \$ 710.00 -- basic filing fee;
- \$ 1,656.00 -- surcharge for excess claims (92 @ \$18/ea.);
- \$ 1,680.00 -- surcharge for excess independent claims (21 @ \$80/ea.);
- \$ 130.00 -- surcharge for late filing of declaration and fee; and

- (6) Fee authorization in the amount of \$40.00 to cover the assignment recordation fee; and
- (7) A return postcard.

If any extension of time is required, Applicant hereby requests the appropriate extension of time. If any fees are inadvertently omitted or if any additional fees are required or have been overpaid, please appropriately charge or credit those fees to Conley, Rose & Tayon, P.C. Deposit Account Number 501505/5053-30802/EBM

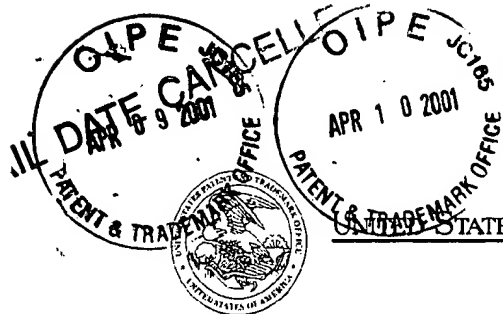
Respectfully submitted,

Mark DeLuca
Reg. No. 44,649

Patent Agent for Applicant

CONLEY, ROSE & TAYON, P.C.
P.O. BOX 398
AUSTIN, TX 78767-0398
(512) 476-1400 (voice)
(512) 703-1250 (facsimile)

Date: 4/6/01



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/699,015	10/27/2000	Charles P. Bobbitt	5053-30802

CONFIRMATION NO. 5828

FORMALITIES LETTER



OC00000005666120

ERIC B. MEYERTONS
CONLEY, ROSE & TAYON, P.C.
P.O. BOX 398
AUSTIN, TX 78767-0398

Date Mailed: 01/10/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

04/11/2001 TGEDANU1 00000069 501505 09699015 FILED UNDER 37 CFR 1.53(b)

01 FC:101 710.00 CH
02 FC:102 1680.00 CH
03 FC:103 1656.00 CH
04 FC:105 130.00 CH

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
 - Total additional claim fee(s) for this application is \$14018.
 - \$10098 for 561 total claims over 20.
 - \$3920 for 49 independent claims over 3.
 - The oath or declaration is missing.
 - To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- 05/15/2001 NLUANG 00000020 501505 09699015
- The balance due by applicant is \$ 14858.
- 01 FC:102 1440.00 CH
02 FC:103 1278.00 CH

The following item(s) appear to have been omitted from the application:

- Figure(s) A-2a to A-2f described in the specification.

I. Should applicant contend that the above-noted omitted item(s) was in fact deposited in the Patent and Trademark Office (PTO) with the nonprovisional application papers, a copy of this Notice and a petition (and \$130.00 petition fee (37 CFR 1.17(i))) with evidence of such deposit **must** be filed within **TWO MONTHS** of the date of this Notice. The petition fee will be refunded if it is determined that the item(s) was received by the PTO.

II. Should applicant desire to supply the omitted item(s) and accept the date that such omitted item(s) was

0 1 2 3 4 5 6 7 8 9
DATE 9/20/07
PATENT & TRADEMARK OFFICE

filed in the PTO as the filing date of the above-identified application, a copy of this Notice, the omitted item(s) (with a supplemental oath or declaration in compliance with 37 CFR 1.63 and 1.64 referring to such items), and a petition under 37 CFR 1.182 (with the \$130.00 petition fee (37 CFR 1.17(h)) requesting the later filing date **must** be filed within **TWO MONTHS** of the date of this Notice.

III. The failure to file a petition (and petition fee) under the above options (I) or (II) within **TWO MONTHS** of the date of this Notice (37 CFR 1.181(f)) will be treated as a constructive acceptance by the applicant of the application as deposited in the PTO. **THIS TWO MONTH PERIOD IS NOT EXTENDABLE UNDER 37 CFR 1.136(a) or (b).** In the absence of a timely filed petition in reply to this Notice, the application will maintain a filing date as of the date of deposit of the application papers in the PTO, and original application papers (*i.e.*, the original disclosure of the invention) will include only those application papers present in the PTO on the date of deposit.

In the event that applicant elects not to take action pursuant to options (I) or (II) above (thereby constructively electing option (III)), amendment of the specification to renumber the pages consecutively and cancel incomplete sentences caused by any omitted page(s), and/or amendment of the specification to cancel all references to any omitted drawing(s), relabel the drawing figures to be numbered consecutively (if necessary), and correct the references in the specification to the drawing figures to correspond with any relabelled drawing figures, is required. Any drawing changes should be accompanied by a copy of the drawing figures showing the proposed changes in red ink. Such amendment and/or correction to the drawing figures, if necessary, should be by way of preliminary amendment submitted prior to the first Office action to avoid delays in the prosecution of the application.

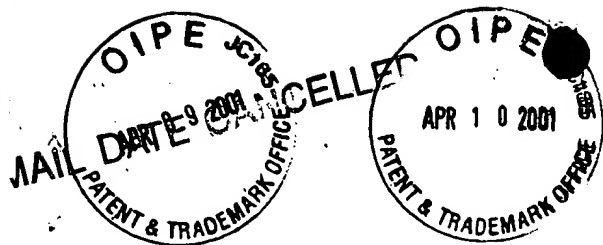
A copy of this notice MUST be returned with the reply.



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



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G. Mosher
Geraldine Mosher

FEE AUTHORIZATION

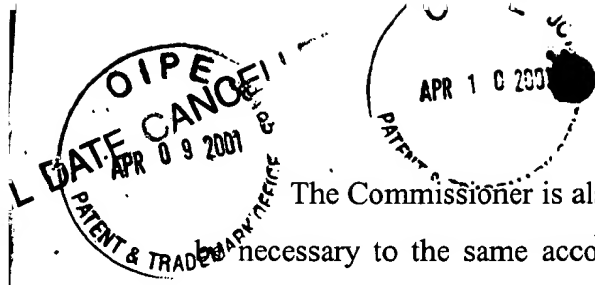
Assistant Commissioner for Patents
Washington, D.C. 20231

The Commissioner is hereby authorized to charge the following fees to Conley, Rose & Tayon,
P.C. Deposit Account Number 501505/5053-30802/EBM:

\$ 40.00 - Assignment Filing Fee

Total Amount: \$40.00

Attorney Docket No.: 5053-30802/EBM



The Commissioner is also authorized to charge any extension fee or other fees which may be necessary to the same account number. If the above mentioned account is found to have insufficient funds, the Commissioner is authorized to charge Conley, Rose & Tayon, P.C. Deposit Account Number 501623/5053-30802/EBM.

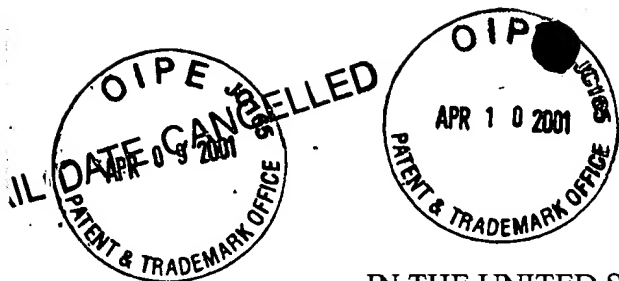
Respectfully submitted,

Mark DeLuca
Reg. No. 44,649

Patent Agent for Applicant

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Geraldine Mosher

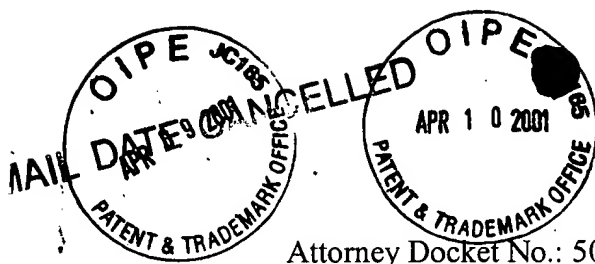
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Commissioner for Patents
Washington, D.C. 20231

The Commissioner is hereby authorized to charge the following fees to Conley, Rose & Tayon,
P.C. Deposit Account Number 501505/5053-30802/EBM:

\$ 710.00 - Utility Patent Application Filing Fees;
\$1,656.00 - Excess Claims Fees;
\$1,680.00 - Excess Independent Claims Fees; and
\$ 130.00 - Surcharge for Late Filing.

Total Amount: \$4,176.00



Attorney Docket No.: 5053-30802/EBM

The Commissioner is also authorized to charge any extension fee or other fees which may be necessary to the same account number. If the above mentioned account is found to have insufficient funds, the Commissioner is authorized to charge Conley, Rose & Tayon, P.C. Deposit Account Number 501623/5053-30802/EBM.

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